

Atto:ney's Docket No.: 13086-002001

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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George Q. Daley et al. Art Unit : 1645 Examiner: Unknown

Serial No.: 09/982,223 Filed

: October 18, 2001

Title

: EXPRESSION VECTORS AND USES THEREOF

BOX SEQUENCE

U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCES

In response to the communication dated May 1, 2002 (copy enclosed), applicants submit herewith a Sequence Listing in computer-readable form as required by 37 CFR §1.824. In addition, applicants submit a statement under 37 CFR §1.821(f).

Applicants hereby submit that the enclosures fulfill the requirements under 37 C.F.R. §1.821-1.825. No new matter has been added.

Please apply any charges or credits to Deposit Account No. 06-1050, referencing attorney docket number 13086-002001.

Respectfully submitted,

Fish & Richardson P.C. 225 Franklin Street Boston, Massachusetts 02110-2804

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

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Louis Myers Reg. No. 35,965

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202.

July 1, 2002

Date of Deposit

Signature

Jennifer H. Payne

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/982,223

10/18/2001

George Q. Daley

13086-002001

LOUIS MYERS Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804



Date Mailed: 05/01/2002

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

• The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d). Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

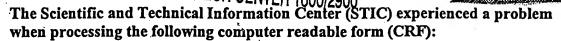
A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CRF Problem Report



Application Serial Number: 09/982,223Filing Date: 10-18-2001Pate Processed by STIC: 2-22-2002

STE Contact: Mark Spencer, 703-308-4212

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The CRF (was):

	(circle one) Damaged of Unreadable (for U	Jnreadable, see attached)
	Blank (no files on CRF) (see attached)	
Empty file (filename present, but no bytes in file) (see attached)		
	Virus-infected. Virus name:	The STIC will not process the CRF
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	Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should only be the Sequence Listing.	
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- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202
- Hand Carry directly to:
 U.S. Patent-and Trademark Office, Technology Center 1600, Reception Area, 7th Floor, Examiner Name, Sequence Information, Crystal Mall One, 1911 South Clark Street, Arlington, VA 22202

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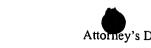
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09/9.82 223

Read fault error reading drive A Abort, Retry, Fail?F

Read fault error reading drive A' Abort, Retry, Fail? Abort, Retry, Fail?



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ED STATES PATENT AND TRADEMARK OFFICE

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Art Unit : 1645

Serial No.: 09/982,223

Examiner: Unknown

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: October 18, 2001

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BOX SEQUENCE

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VERIFIED STATEMENT UNDER 37 CFR §1.821(f)

I, Jennifer H. Payne, declare that I personally prepared the paper and the computerreadable copy of the Sequence Listing filed herewith for the above-identified application, and that the content of both the paper copy of the Sequence Listing filed January 8, 2002 and the computer-readable copy of the Sequence Listing filed herewith is the same.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of The United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Jennifer 1

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Jennifer H. Payne

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